



# **Indorama Ventures Public Company Limited**

## **Reporting of Holding of and Trading in IVL Securities Policy**

*Revision 1*

*(As approved by the Board of Directors Meeting No. 2/2023 dated February 24, 2023)*

# Reporting of Holding of and Trading in IVL Securities Policy

## 1. Definition:

- 1.1. "IVL" or "Company" means Indorama Ventures Public Company Limited.
- 1.2. "Executive" means the persons in executive positions as defined by the Securities and Exchange Act B.E. 2535 (A.D. 1992) (the "**Securities Act**") and/or the Notifications of the Office of the Securities and Exchange Commission (the "**Office of SEC**") (as amended).
- 1.3. "Securities" means securities, convertibles and derivatives as defined by the Securities Act and the Notifications of the Office of SEC (as amended).
- 1.4. "Trade" or "Trading" means purchasing, selling, transferring or accepting of any beneficial or legal interest of Securities, including the exercise of rights concerning stock options and warrants.

**2. Blackout Period:** No Director or Executive shall directly or indirectly trade in IVL Securities (which includes trading transactions made by their spouse, minors and/or unmarried life partners) during the period of thirty (30) days prior, and two (2) days subsequent, to the date of filing with the Stock Exchange of Thailand of the quarterly and annual financial statements of the Company.

**3. Reporting of Securities Holdings:** Unless an exception is granted by the Securities Act and the Notifications of the Office of the SEC (as amended), the Director and/or Executive who so trades in IVL Securities (as defined in Section 2 hereabove) must:

- 1.1. report to the SEC in accordance with Section 59 of the Securities Act within three (3) working days from the date of the Transaction; and
- 1.2. send a copy of such form to the Company Secretary.

**4.** The Company Secretary's Office will inform the Board on a quarterly basis of all reported Trading in IVL Securities.